
Allied Health Professional Manual

Baylor Heart and Vascular Hospital

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Revisions approved by the Board of Managers

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Section 1.0 AUTHORIZATION AND CONTROL PROVISIONS

1.1 Definitions

1.1.1. ALLIED HEALTH PROFESSIONAL (AHP)

- a. An Allied Health Professional (AHP) as defined herein is an individual who:
 - (1) Is qualified by academic and clinical training, prior and continuing experience, and current competence in a discipline which the Heart and Vascular Hospital's Board of Trustee allows to practice in the Heart and Vascular Hospital; and
 - (2) Functions under the direction and supervision and/or delegation of a Medical Staff member.
- b. Categories of AHPs currently authorized to provide services in the Heart and Vascular Hospital:
 - (1) Advanced Practice Nurse
 - (2) Physician Assistant (PA)
 - (3) Licensed Clinical Perfusionist
 - (4) Private Registered Nurse
 - (5) Private Registered Nurse First Assistant
 - (6) Clinical Psychologist
 - (7) Private Surgical Tech

1.1.2. Delegating Medical Staff Member shall be the Medical Staff member(s) required to delegate the performance of medical acts, supervise or direct the AHP by virtue of law, Heart and Vascular Hospital policy or the terms of the AHPs authorization to practice in the Heart and Vascular Hospital. A delegating Medical Staff member shall not supervise/delegate any procedure or patient care service that the member is not privileged by the Heart and Vascular Hospital to perform.

1.2 Committee On Allied Health Professionals

1.2.1. Composition: The Committee on Allied Health Professionals is a Committee of the Medical Staff pursuant to its Bylaws, Rules and/or Regulations and shall be composed of Medical Staff members, Heart and Vascular Hospital employees, and AHPs appointed by the Medical Leadership. The Medical Leadership representative shall serve as chair of the Committee. The chair shall have the authorization to appoint additional non-voting members as required. All members of the Committee shall have an equal vote. Membership is as follows or a designee of those listed below:

- a. (1) Medical Leadership Representative;
- b. (1) Committee on Professional Standards Representative;
- c. (1) Heart and Vascular Hospital Administration Representative;
- d. (1) Nurse Administration Representative;
- e. (1) Medical Director for The Center for Quality Care and Coordination;
- f. (1) Medical Staff At-Large Representative;
- g. (4) Allied Health Professional Representatives;
- h. (1) Credentials Committee Representative;
- i. (1) Human Resources Representative; and
- j. (1) Baylor Heart & Vascular Hospital Representative.

The Committee may appoint specialty representatives as non-voting agents of the Committee when the Committee is reviewing applications from individuals who will practice in those specialty areas.

- 1.2.2. Function: The Committee shall be established and function as a medical peer review committee and is authorized by the Medical Leadership and Board of Managers to evaluate the quality of health care services provided by AHPs, including the evaluation of AHPs initial and renewal of credentialing of AHPs, and evaluation of complaints.
- 1.2.3. Meetings: Meetings shall be quarterly and otherwise as requested by the chair.
- 1.2.4. Forum and Voting: A quorum of 5 members, three of whom must be members of the Medical Staff, shall be required to conduct business. A simple majority vote of the members present shall be required for action or recommendation by the Committee. Action on Allied Health Professional Applicants may also be taken outside of a regular scheduled meeting or in the event a meeting does not occur. The application must obtain verified supporting documentation and has to be reviewed and approved by at least 5 members of the committee, two of which must be members of the Medical Staff.

1.3 Basic Qualifications of Allied Health Professionals

Every AHP must, at the time of initial application and, if approved, continuously thereafter, demonstrate to the satisfaction of the Heart and Vascular Hospital the following qualifications and any additional qualifications as set forth for the AHPs discipline:

- 1.3.1. Professional Education/Training/Licensure/Certification/Registration:
 - a. Advanced Practice Nurse: Current and unrestricted Texas license or temporary authorization to practice as issued by the Texas State Board of Nurse Examiners to practice as an advanced practice nurse. For new graduates on or after 1/1/96, the graduate advanced practice nurse must achieve certification within three examinations in the first 2 years from becoming eligible.
 - b. Physician Assistant: Current and unrestricted Texas license or provisional license issued by the Texas State Board of Physician Assistant Examiners.
 - c. Licensed Clinical Perfusionist: Current and unrestricted licensure issued by the Texas State Board of Examiners of Perfusionists.
 - d. Private Registered Nurse: Current and unrestricted Texas license or provisional license issued by the Texas State Board of Nurse Examiners.
 - e. Private Surgical Tech: Must be a graduate of a post-secondary education program in surgical technology, or have equivalent experience.
 - f. Licensed Clinical Psychologist: Current and unrestricted Texas license to practice as a Licensed Psychologist issued by the Texas State Board of Psychologists, who has successfully completed a program in clinical psychology and obtained a license doctoral level of a PhD.
- 1.3.2. Experience and Professional Performance: Current clinical competency through experience and results, documenting the ability to provide patient care services at an acceptable level of quality and efficiency in each Heart and Vascular Hospital setting where services are or will be provided.
- 1.3.3. Cooperativeness: Ability to work with and relate to Medical Staff members, other AHPs, Heart and Vascular Hospital personnel, patients, visitors, and the community in general, in a cooperative, professional, non-disruptive manner that is essential for maintaining an environment appropriate to quality and efficient patient care.
- 1.3.4. Satisfaction of Obligations: Satisfactory compliance with the obligations outlined in Section 1.6 of this policy.
- 1.3.5. Professional Ethics and Conduct: Adherence to generally recognized standards of professional ethics and all applicable laws.
- 1.3.6. Health Status: Health status as necessary to provide services in the Heart and Vascular Hospital and fulfill the essential functions of AHP status. In demonstrating satisfaction of the foregoing qualification, an AHP may be required to provide such information or to submit to such examinations or tests as may be reasonably requested by the appropriate Governing Committees. Such examinations or tests shall be at the AHPs expense and performed by practitioners chosen or acceptable to the appropriate Governing Committees.

- 1.3.7. Verbal and Written Communication Skills: Ability to communicate orally and in writing, in English, in an intelligible manner, and to prepare medical record entries and other required documentation in a legible manner.
- 1.3.8. Professional Liability Insurance: Possess professional liability insurance coverage issued by a recognized company and of a type and in an amount not less than the minimum amount required by the Heart and Vascular Hospital, or be a named insured in a professional liability insurance policy issued by a recognized company and of a type and amount required by the Heart and Vascular Hospital and that does not exclude from coverage any of the scope of practice or delineation of services that the AHP is granted. Written evidence of such insurance coverage, in a form satisfactory to the Heart and Vascular Hospital, shall be provided to the Heart and Vascular Hospital upon request.
- 1.3.9. Delegating Medical Staff Member: Agreement by one or more Medical Staff members to provide required delegation, supervision or direction to the AHP.

1.4 Effects of Other Affiliations

An AHP is not automatically entitled to provide services merely because the AHP:

- 1.4.1. Is authorized to practice in this or in any other state; or
- 1.4.2. Is a member of any professional organization; or
- 1.4.3. Is certified by any board; or
- 1.4.4. Provides, or previously provided, services at another health care facility or in another practice setting; or
- 1.4.5. Is or was employed at this Heart and Vascular Hospital; or
- 1.4.6. Is, or is about to become, associated with a Medical Staff member(s) or AHP(s) practicing at the Heart and Vascular Hospital through employment, contract, or otherwise.

1.5 Prerogatives of Allied Health Professionals

The prerogatives of an AHP are to:

- 1.5.1. Perform such health care services as the AHP has been educated and trained to perform and as have been specifically defined for the AHP under the degree of supervision, direction or delegation of Medical Staff member as stated in the authorization to provide services and consistent with any limitations stated in the policies governing the AHPs practice in the Heart and Vascular Hospital or any other applicable Medical Staff or Heart and Vascular Hospital policies;
- 1.5.2. Serve on committees if so appointed and with a vote if so specified by the appointing authority;
- 1.5.3. Attend, when invited, clinical meetings of the Medical Staff, a department or other clinical units;
- 1.5.4. Attend educational meetings of the Medical Staff, a department, or the Heart and Vascular Hospital; and
- 1.5.5. Exercise such other prerogatives as the Board of Managers, the Committee on Allied Health Professionals, or Medical Leadership may accord AHPs in general or a specific category of AHP.

1.6 Obligation of Allied Health Professionals

Each AHP shall:

- 1.6.1. Provide patient care services at an acceptable level of quality with cost-effective and appropriate utilization of services;
- 1.6.2. Retain appropriate responsibility within the AHPs area of professional competence for the care of each patient in the Heart and Vascular Hospital for whom the AHP is providing services;
- 1.6.3. Participate in Heart and Vascular Hospital quality management program activities appropriate to the AHPs discipline and discharge such other functions as may be required from time to time;
- 1.6.4. When requested, attend clinical and educational meetings of the Medical Staff, department, and any clinical units with which the AHP is associated and attend any individual conference requested by the Committee on Allied Health Professionals or its chair, any department chair, medical director of a special unit, or Heart and Vascular Hospital service director;

- 1.6.5. Abide by the Medical Staff Bylaws and related manuals, as applicable, this policy, and all other applicable standards, policies and rules of the Medical Staff and Heart and Vascular Hospital;
- 1.6.6. Prepare and complete in a timely fashion, as appropriate and authorized, those portions of the patients medical records documenting services provided and any other required records, including entering all progress reports in the multi-disciplinary progress record;
- 1.6.7. Provide evidence to the Medical Staff Services, prior to expiration, of renewed license/certificate to practice in this state, required professional liability insurance coverage, as well as any other required documentation requested;
- 1.6.8. Immediately notify the Medical Staff Services or Heart and Vascular Hospital of:
 - a. Any felony charges brought against the AHP;
 - b. Any change made or investigation involving the AHPs license/certificate/registration to practice, professional liability insurance coverage, employment by or other affiliation with a delegating Medical Staff member;
 - c. Any change made or investigation at another Medical Center or health care entity where the AHP practices;
 - d. Any initiation, judgment, settlement, or dismissal of a claim involving the AHPs professional performance or services; and
 - e. Any investigation or action by Medicare or Medicaid.
- 1.6.9. Refrain from any conduct or acts that are or could reasonable be interpreted as being beyond, or an attempt to exceed, the scope of practice authorized within the Heart and Vascular Hospital.
- 1.6.10 Inform patients and their families that the AHP is not a physician and refrain from any conduct or language that could lead patients and their families to believe that the AHP is a physician.

Failure to satisfy any of these obligations is grounds for termination or non-renewal of the authorization to provide services or other disciplinary action as deemed appropriate under Section 4.0 of this policy.

1.7 Terms and Conditions of Affiliation

The AHP is subject to an initial probationary period, formal periodic reviews and disciplinary procedures as set forth in Sections 3.0 and 4.0 of this policy. Each AHP shall be assigned by the Committee on Allied Health Professionals to the clinical department and/or Heart and Vascular Hospital service appropriate to the AHPs professional training and authorized scope of practice. An AHPs provision of specified services within any department or Heart and Vascular Hospital service is subject to the rules and regulations of that department/service and to the authority of its chair/director. The quality and efficiency of the care provided by an AHP within any department/service may be monitored and reviewed as part of the Heart and Vascular Hospital's quality management program.

1.8 Scope of Practice

- 1.8.1. Delineation: Written guidelines defining the scope of services that may be provided by each category of AHP shall be developed by the Committee on Allied Health Professionals and either approved, modified or rejected, after approval by the Medical Leadership, by the Board of Managers. Input shall be obtained, as applicable, from the delegating Medical Staff member(s) and from representatives of the Medical Staff, Heart and Vascular Hospital administration and other professional staffs.

For each category of AHP, the guidelines shall include at least:

- a. Specification of the classes of patients that may (or may not) be seen;
- b. Description of the services to be provided and procedures to be performed, including any special equipment, procedures of protocols that specific tasks may involve, and responsibility (if any) for charting services provided in the patients medical record;
- c. Definition of the degree of assistance that may be provided to a Medical Staff member in the treating of patients on Heart and Vascular Hospital premises and any limitations thereon;

- d. The degree of Medical Staff member supervision, direction or delegation required for providing services (as outlined in 1.8.2) and;
 - e. Minimum or threshold qualifications to apply for these services.
- 1.8.2. Medical Staff Member Supervision: The level and nature of supervision required from the delegating Medical Staff member(s) for the AHPs performance of a service shall be within the privileges that the Medical Staff member has been granted to perform by the Heart and Vascular Hospital and shall be delineated as follows:
- Level 1: Medical Staff member is physically present to observe performance of service or task
 - Level 2: Medical Staff member is physically present in the Heart and Vascular Hospital and immediately available while the service or task is being performed
 - Level 3: Medical Staff member is available by telephone immediately while the service or task is being performed
 - Level 4: Other (as described)
- 1.8.3. Limitations: Notwithstanding the apparent scope of practice permitted to any particular category of AHP or any individual AHP under state law or licensure, limitations may be placed on an AHPs authorized scope of practice in the Heart and Vascular Hospital as deemed necessary either for the efficient and effective operation of the Heart and Vascular Hospital or any of its departments or services, or for management of personnel, services and equipment, or for quality or efficient patient care, or as otherwise deemed by the Board of Managers to be in the best interest of patient care in the Heart and Vascular Hospital.
- 1.8.4. Emergency: In case of an emergency in which serious permanent harm or aggravation of injury or disease is imminent, or in which the life of a patient is in immediate danger, and any delay in administering treatment could add to that danger, and AHP is authorized to do everything possible to save the patients life or to save the patient from serious harm, to the degree permitted by the AHPs license or certificate regardless of the authorized scope of services. In such an emergency, the AHP shall summon all consultative assistance available and to relinquish care of the patient to a Medical Staff member or other appropriate professional as soon as possible.

1.9 Delegating Medical Staff Members Obligations

Unless otherwise provided by law, Heart and Vascular Hospital policy or the authorization for a particular AHP or AHP category, the delegating Medical Staff member(s) by virtue of the member's status as such, agrees to:

- 1.9.1. Accept full legal and ethical responsibility for directing or supervising the AHPs performance;
- 1.9.2. Accept full responsibility for the proper conduct of the AHP within the Heart and Vascular Hospital, for the AHPs observance of all Bylaws, policies, procedures, rules and regulations of the Heart and Vascular Hospital and Medical Staff, and for the correction and resolution of any problems that may arise;
- 1.9.3. Provide the level of supervision as set forth in the authorization to provide services;
- 1.9.4. Maintain ultimate responsibility for directing the course of the patients medical treatment and provide active and continuous overview of the AHPs activities in the Heart and Vascular Hospital to ensure that directions and advice are being implemented;
- 1.9.5. Assure that the AHP maintains the necessary qualifications and competency to provide services in accordance with accepted medical standards;
- 1.9.6. Delegate the performance of any medical acts in accord with applicable law and within the AHP's scope of practice as determined by the Committee on Allied Health Professionals;
- 1.9.7. Abide by all Bylaws, policies and rules governing the use of AHPs in this Heart and Vascular Hospital and utilize the AHP in accord with the AHPs authorized scope of practice in the Heart and Vascular Hospital;
- 1.9.8. Assure the AHP has valid and current professional liability coverage as required in Section 1.3.8;

- 1.9.9. Immediately notify the Committee on Allied Health Professionals or Heart and Vascular Hospital in the event any of the following occur:
- a. Termination of an agreement to serve as a delegating Medical Staff member or employment of the AHP;
 - b. The Medical Staff members approval to supervise the AHP is revoked, limited, or otherwise altered by action of the applicable state licensing board; or
 - c. The Medical Staff member is notified of investigation of the AHP or of the member's supervision of the AHP by the applicable state licensing board or any other entity.

1.9.10 Be specifically privileged by the Credentials Committee to supervise AHPs.

1.10 Identification

At all times while on Heart and Vascular Hospital premises, the AHP shall wear a Baylor name tag clearly identifying the AHP by name, the AHPs employer, sponsoring Medical Staff member(s) group name, or department, and the category the AHP is in, as set forth in the authorization to provide services. The AHP shall inform patients and their families that the AHP is not a physician and shall refrain from any conduct or language that could lead patients and their families to believe that the AHP is a physician.

Section 2.0 APPLICATION PROCEDURE

2.1 Application

An application for specified services must be submitted by the AHP in writing, signed and completed on an original Heart and Vascular Hospital approved form. Applications shall only be provided to individuals in an AHP category approved by the Board of Managers. The Heart and Vascular Hospital may decline in its sole discretion to provide an application or to process an application based on its inability to provide adequate facilities, resources or support services for additional AHPs in a particular category, lack of need for additional AHPs, or the existence of a contractual or other arrangement for the provision of the services offered by that category of AHP. An AHP who enters into a contract with the Heart and Vascular Hospital shall be subject to the qualifications and application procedures in this policy, in addition to any additional requirements set forth in the contract.

2.2 Content of Form

The application shall require complete and accurate information concerning at least the following:

- 2.2.1. Personal Information: Full name, social security number, addresses and telephone numbers for office, and travel time from office and residence;
- 2.2.2. Delegating Medical Staff Member Information: Name of the Medical Staff member/group who employs the AHP, if applicable, and/or the names of the delegating Medical Staff member(s) under whose direction and supervision the AHP will function;
- 2.2.3. Education: School name and location, major, degrees awarded and dates attended for all undergraduate and/or professional/other graduate schools;
- 2.2.4. Postgraduate/Continuing Education: Institution/school name and location, title and summary description of content of program, program director, dates attended, date completed;
- 2.2.5. Professional Licenses/Registration/Certifications: Type, state where held (if applicable), number, whether current or not, date of certification by the professional college or board where applicable (e.g., National Commission of Registration of Physician Assistants), copy of current or temporary license/registration/certification to practice in this state, and copy of current professional college/board certificate (if applicable);
- 2.2.6. Chronology of Professional Career (all present and prior): Date, name and location of each hospital affiliation, other institutional practice affiliations, employment with solo/group/partnership practice, to include experience at each;
- 2.2.7. Professional Society Memberships: Name, both current and pending;
- 2.2.8. Disciplinary Actions: Any pending or completed denials, revocations, suspensions, reductions, limitations, probation's, non-renewals, voluntary relinquishments of or withdrawals of an application or investigations for any of the following: professional license/registration/certification, hospital or other institutional practice affiliation, authority to provide services, professional society membership, professional liability insurance, or Medicare/Medicaid provider status, with full details of any affirmative answers provided in the form requested;
- 2.2.9. Professional Liability Insurance: Current coverage amount with documentation, listing of any claims, suits, settlements or arbitration proceedings pending or concluded, and names of past insurance carriers with dates of coverage. Professional Liability Insurance shall not be less than the minimum amount required by the Heart and Vascular Hospital;
- 2.2.10. Health Status: Information on health status as necessary to provide professional services and fulfill the essential obligations of AHP status;
- 2.2.11. Criminal Charges: Listing of every current or past charge, date and resolution involving any felony criminal matter;
- 2.2.12. Authorization and Release: Signed authorization and release from AHP applicable to consideration of the AHPs application to practice in the Heart and Vascular Hospital and obtaining information from third-parties;

- 2.2.13. Acknowledgment: Signed acknowledgment from AHP to abide by the applicable Bylaws and related manuals, rules and regulations, policies and procedures of the Medical Staff and Heart and Vascular Hospital in all matters relating to the AHPs practice in the Heart and Vascular Hospital;
- 2.2.14. Acknowledgment from Delegating Medical Staff Member: Signed agreement from all delegating Medical Staff member(s) acknowledging the obligation to comply with all requirements of this policy, including Section 1.9, as well as all obligations required by the Heart and Vascular Hospital and all applicable departments thereof, and as may otherwise be necessary for the particular category of AHP or individual AHP;
- 2.2.15. References: The names of least 3 Medical Staff members or other health care professionals who have personal knowledge of the AHPs qualifications based on observation within the past three years of the AHPs professional performance over a reasonable period of time (preferably in the acute care hospital setting) and who will provide specific written comments on these matters upon request;
- 2.2.16. A list of all services the AHP seeks to provide patients at the Heart and Vascular Hospital and the areas of the Heart and Vascular Hospital where the AHP will be performing duties (operating room, patient care areas, ancillary departments, etc.); and
- 2.2.17. Other: Such other information as may be established by the Committee on Allied Health Professionals.

2.3 Effect of Application

The AHP must sign the application and in so doing:

- 2.3.1. Attests to the correctness and completeness of all information furnished and acknowledges that any misstatement or misrepresentation in or omission from the application, whether intentional or not, constitutes grounds for denial or termination of authorization to provide services in the Heart and Vascular Hospital;
- 2.3.2. Signifies the AHPs agreement to provide any requested information and to appear for interviews in connection with the application;
- 2.3.3. Agrees to abide by the terms of this policy and all applicable Bylaws and related manuals, rules, regulations, policies, and procedure manuals of the Medical Staff and the Heart and Vascular Hospital;
- 2.3.4. Agrees to maintain an ethical practice and to refrain from misrepresenting the AHPs position, status or scope of authorized practice to any individual;
- 2.3.5. Agrees to notify, promptly and in writing, the Committee on Allied Health Professionals or Heart and Vascular Hospital of any change in any of the information provided on the application;
- 2.3.6. Authorizes and consents to the Heart and Vascular Hospital representatives consulting with any individuals or entities who may have information bearing on the qualifications and competence of the AHP and consents to the disclosure and inspection of all records and documents that may apply to said qualifications and competence; and
- 2.3.7. Releases from any liability all those who; review, act on or provide information regarding the AHPs qualifications.

For purposes of this section, the term Heart and Vascular Hospital Representative means: the Board of Managers and any member of committee thereof; the Heart and Vascular Hospital or designee; the Committee on Allied Health Professionals or any member or agent thereof; the Medical Staff and any member, officer, agent, department or committee thereof; employees of the Heart and Vascular Hospital; and any individual authorized by any appropriate authority of the Medical Staff or the Heart and Vascular Hospital or any committee thereof to perform specific information gathering, analysis, use, investigation or disseminating functions.

2.4 Processing the Application

- 2.4.1. Burden of Proof: The AHP shall have the burden of producing adequate information for a proper evaluation of the AHPs qualifications, resolving any doubts, and satisfying any requests for information or clarification made by appropriate Committee or Heart and Vascular Hospital representatives.
- 2.4.2. Verification of Information: The AHP shall provide to the Heart and Vascular Hospital a completed, original application. After collecting and verifying the information submitted, the AHP shall be promptly

notified, in writing, by the Heart and Vascular Hospital of any additional information needed or of any problems in obtaining the information required. Upon such notification, it shall be the AHPs obligation to obtain the required information. Failure to provide the information by the deadline specified shall terminate any further processing of the application and is deemed a withdrawal of the application. Failure to document compliance of any minimum or threshold criteria for requested service shall result in non-processing of the application as to that service. When collection and verification is accomplished, the application shall be presented to the Committee on Allied Health Professionals.

2.4.3. CNO Review of Nursing AHPs

Upon a determination that an application is complete, the application and all supporting documentation will be forwarded to the Chief Nursing Officer (CNO), for the purpose of reviewing the application. The facility CNO may personally or through a designee conduct a personal or telephone interview with the Practitioner. The CNO shall evaluate all matters that he/she deems relevant to arriving at a recommendation regarding clinical privileges or scope of service of nursing AHPs. The CNO may contact other individuals with personal knowledge of the practitioner's qualifications. After reviewing all pertinent information (but in no event later than 30 days after receiving the completed application), the CNO shall make a written recommendation to the Credentials Committee regarding department appointment and clinical privileges and/or scope of service to be granted, if any, along with any special conditions.

2.4.4. Committee on Allied Health Professionals Evaluation: The Committee on Allied Health Professionals reviews and investigates the application, the supporting documentation, and any other relevant information available to it. The Committee shall consult with and obtain a recommendation from the applicable department chair. The Committee may also, at its sole discretion, interview the applicant. The Committee shall take one of the following actions on the application with the effect as described:

- a. Deferral: If the Committee requires further information, it may defer transmitting its report and will notify the AHP of the deferral. If the AHP is to provide additional information or a specific release/authorization to allow Heart and Vascular Hospital or Committee representatives to obtain information, the notice must so state and must include a request for the specific information or release/authorization required and the deadline for response. Failure to respond in a satisfactory manner by that date shall terminate further processing of the application and is deemed a withdrawal of the application in accord with Section 2.4.2 above.
- b. Recommendation: A recommendation on the AHPs application is transmitted promptly, with all supporting information, to the Medical Leadership as set forth in Section 2.4.4 below. The recommendation shall be one of the following alternatives: 1) grant authorization to practice as an AHP, scope of services to practice, level of delegating Medical Staff members supervision, department assignment, and any conditions on the AHPs practice; or 2) deny authorization to practice as an AHP.

2.4.5. Medical Leadership Action: At its next regular meeting, the Medical Leadership shall consider the recommendation of the Committee on Allied Health Professionals and make a recommendation to the Board of Managers whether authorization to practice should be granted and, if so, the approved scope of services, level of delegating Medical Staff members supervision, department assignment, and any conditions on the AHPs practice. If, in the deliberations pursuant to this Section 2.4.4, the Medical Leadership determines that it requires further information, it may defer action for up to 30 days and the AHP and the Chair of the Committee on Allied Health Professionals shall be notified of the deferral and the reason. If the AHP is to provide additional information or a specific release/authorization to allow Heart and Vascular Hospital or Committee representatives to obtain information, the notice must so state and must include a request for the specific information or release/authorization required and the deadline for response. Failure to respond in a satisfactory manner by that date is deemed a withdrawal of the application in accord with Section 2.4.2 above.

2.4.6. Board Action: Upon receipt, the Board of Managers shall issue a final decision on the AHPs application. The Heart and Vascular Hospital shall notify the AHP and any designated delegating Medical Staff member(s) in writing within 20 days of the Board's decision. If the decision is adverse as defined in Section 5.2, the notice shall also advise the AHP of the right to review as outlined in Section 5.3.

2.5 Orientation of The Allied Health Professionals

The AHP shall attend and complete an orientation program established by the Committee on Allied Health Professionals within 90 days after approval by the Board of Managers. Failure to satisfactorily

complete the orientation program may result in termination of practice. The orientation program may include:

- 2.5.1. Certification in CPR training if the AHP is not already certified, unless exempted based on the nature of the services the AHP is to provide. It is the obligation of the AHP to furnish documentation of such orientation or certification;
- 2.5.2. Familiarization with appropriate procedures and protocols at the Heart and Vascular Hospital and, as applicable, those of the clinical department and Heart and Vascular Hospital unit under which the AHP will provide services;
- 2.5.3. Orientation to nursing activities specific to any of the units/departments of the Heart and Vascular Hospital where the AHP will function (e.g., surgical suite);
- 2.5.4. Orientation to medical records requirements;
- 2.5.5. Orientation to policies and procedures on HIPAA and patient confidentiality; and
- 2.5.6. Orientation to policies and procedures on Patient Abuse, Exploitation, and Neglect.

Section 3.0 RE-EVALUATION PROCEDURES

3.1 Probationary Period

Each AHP newly approved for services shall be subject to a probationary period of 12 months. Any granting of additional services to an existing AHP shall also be subject to a probationary period of not less than 90 days nor more than 12 months as recommended by the Committee on Allied Health Professionals. An AHP's provision of services during the probationary period is subject to any conditions or limitations imposed as part of the grant of services.

- 3.1.1. Application: At least 45 days prior to the end of the probationary period for the new AHP and at least 45 days prior to the end of a probationary period for the AHP who has increased services, the AHP and, when applicable, the delegating Medical Staff member(s) must submit in writing to the Committee a summary of the AHP's practice in the Heart and Vascular Hospital to date and request termination of the probationary period review process. Failure to utilize the Heart and Vascular Hospital during the probationary period or to request termination of the probationary period as required shall cause the authorization to provide services to automatically terminate at the end of the probationary period, with no right to review under this policy or otherwise.
- 3.1.2. Department Chair/Service Director Review: Upon receipt of a timely request, the Committee shall delegate a portion of its review function by directing that the AHPs file, any significant findings from Heart and Vascular Hospital quality management activities, and the summary of the AHPs practice be forwarded to each applicable department chair and appropriate Heart and Vascular Hospital service director for review.

At least 30 days prior to the end of the probationary period, each applicable department chair and any appropriate Heart and Vascular Hospital service Director (or their respective designees) shall review the information on the AHPs practice in the Heart and Vascular Hospital and transmit to the Committee a statement as to whether or not, based on that information, the AHPs clinical performance is acceptable, whether the AHP has complied with the obligations in this policy, and of any incidents that have occurred in connection with the AHPs provision of services that indicate actual or potential problems.

- 3.1.3. Committee Action: The Committee on Allied Health Professionals shall review the information on the AHPs practice in the Heart and Vascular Hospital, the statements under Section 3.1.2 and other information available in the AHPs file, and formulate a recommendation as to whether the probationary period has or has not been successfully completed. The matter then follows the course outlined in Sections 2.4.3 through 2.4.5 above.

3.2 Renewal of Authorization to Practice

The Committee shall accomplish re-evaluation of each AHP every 2 years beginning with the successful conclusion of the probationary period. At least 90 days prior to the AHPs renewal date, the AHP must, on the Heart and Vascular Hospital-approved form, fully update the information on the application form, including external continuing education activities, and submit a request for authorization to continue to provide services for the upcoming term, including any basis for changes from the services currently authorized. The Heart and Vascular Hospital for the specific purpose of providing information to the Committee for this re-evaluation, will compile for the AHPs file information regarding the AHPs satisfaction of the obligations in this policy and the frequency, quality and efficiency of services the AHP is providing. Upon receipt of a timely application for re-evaluation, the Committee shall delegate a portion of its review function by directing that the AHPs file, any significant findings from the Heart and Vascular Hospital quality management activities, and the summary of the AHPs practice be forwarded to each applicable department chair and appropriate Heart and Vascular Hospital service director for review. Upon completion of such review a statement shall be transmitted to the Committee whether or not, based on that information the AHPs clinical performance is acceptable, whether the AHP has complied with the obligations in this policy, and any incidents that have occurred in connection with the AHPs provision of services that indicate actual or potential problems. Processing is as described in Sections 2.4.3 through 2.4.5 of this policy. Failure to submit a completed renewal application or to supply requested information shall result in termination of the authorization to provide services on the expiration date, with no right to review under this policy or otherwise.

3.3 Requests for Modification of Services

An AHP may, either in connection with renewal or at any other time, request modification of services by submitting a written request to Medical Staff Services. The request must contain all requested information supportive of the request and is processed according to the procedures outlined in Section 2.0 of this policy, including verification with primary sources external to the Heart and Vascular Hospital and compilation of internal data as necessary to properly evaluate the request.

An AHP who decides to resign or to restrict or limit the provision of services, which the AHP has been granted, shall send written notice to the Heart and Vascular Hospital, which shall, in turn, notify the applicable department chair, the Committee on Allied Health Professionals, the Medical Leadership, the Board of Managers, and the applicable Heart and Vascular Hospital service directors. A copy of this notice shall be included in the AHPs file.

3.4 Contracted Services

Upon nonrenewal or termination of an exclusive contract, the Heart and Vascular Hospital may terminate or otherwise limit or qualify the scope of practice of all AHPs associated with the contract or the group, and such AHPs shall not have a right to a hearing or appeal procedure. Further, upon any severance of the affiliation between an AHP and a group, the Heart and Vascular Hospital may terminate or otherwise limit or qualify the scope of practice of that AHP, and such AHP shall not have a right to a hearing or appeal procedure. The rights of the Heart and Vascular Hospital under this Section shall supercede any contrary terms as may be established in the bylaws, rules or regulations, or policies of the Heart and Vascular Hospital's medical staff.

3.5 Quality Management

Evaluation of the practice of AHPs and compliance with any conditions on such practice, shall be included as an element of the Heart and Vascular Hospital's quality management program and the results of such evaluations shall be provided to the Committee on Allied Health Professionals prior to renewal of the AHPs scope of practice.

Section 4.0 DISCIPLINARY ACTION

4.1 Routine Action

An AHPs authority to practice may be terminated if the AHP fails to continue to satisfy the basic qualifications for authority to practice or obligations of AHP, practice fails to comply with the conditions placed on the AHPs practice, violates this policy or any Medical Staff, Heart and Vascular Hospital, or department requirement, fails to provide services in accord with accepted professional standards, or takes any action that jeopardizes patient care or Heart and Vascular Hospital operations.

- 4.1.1. Initiation: The Committee on Allied Health Professionals shall be responsible to receive, investigate and review, and issue a recommendation as to any written complaint or inquiry regarding an AHP or the AHPs practice in the Heart and Vascular Hospital within 30 days of receipt of the complaint. The Committee shall advise the AHP, and the delegating Medical Staff member(s) if appropriate, in writing if a written complaint is received and may require the AHP and/or delegating Medical Staff member(s) to answer any questions by appearing before the Committee in person or through submission of a written statement, in the Committees sole discretion. Failure of the AHP to appear and/or provide requested information shall automatically terminate the AHPs authority to practice in the Heart and Vascular Hospital, with no right of review under this policy or otherwise.
- 4.1.2. Investigation: In investigating any complaint, the Committee shall have the authority to interview any persons with knowledge, review any Heart and Vascular Hospital records or other documents, consult with any third parties, and take such other steps as are necessary to obtain the needed information. The Committee may also authorize a subcommittee or persons, whether committee members or not, to conduct the investigation.
- 4.1.3. Recommendation: The Committee shall recommend if limitation or termination of the AHPs authority to practice is indicated and shall forward the recommendation to the Medical Leadership for action. The Medical Leadership shall evaluate the Committee on Allied Health Professionals report and make and forward its recommendation to the Board of Managers.
- 4.1.4. Final Action: At a subsequent regular meeting following receipt of a recommendation from the Medical Leadership, the Board of Managers shall review this recommendation and make a final decision. The Heart and Vascular Hospital shall notify the AHP and any delegating Medical Staff member(s) in writing within 20 days of the Board of Managers final decision. If the decision is adverse as defined below, the AHP shall be entitled to a review under Section 5.0.

4.2 Automatic Action

- 4.2.1. Grounds: The authority of an AHP to practice is automatically terminated, effective immediately, if:
 - a. The AHPs licensure, registration or certification (if any) is terminated, revoked or suspended;
 - b. The AHP has not maintained the required professional liability insurance coverage;
 - c. The AHP does not have a delegating Medical Staff member with the necessary clinical privileges to supervise, direct or delegate to the AHP; or
 - d. The AHP violates the terms of a return to work agreement signed as part of the Heart and Vascular Hospitals impaired practitioner program.
- 4.2.2. Felony Conviction: Whenever an AHP is convicted of a felony, the authority to practice may be automatically terminated as of the date of such conviction.
- 4.2.3. No Right to Review: The Heart and Vascular Hospital shall notify the AHP and the delegating Medical Staff member, if applicable, in writing of this termination. An automatic action in accord with this Section is not an adverse action and shall not entitle the AHP to any review under this policy or otherwise.

4.3 Summary Action

In addition to the provisions above, the Chair of the Committee on Allied Health Professionals, Chief of the AHPs assigned clinical department, Chairman of the Medical Leadership or the Heart and Vascular Hospitals Chief Executive Officer or designee shall have the authority to limit or terminate an AHPs

authority to practice, effective immediately, if such believes that such action is necessary to protect the health and safety of patients or Heart and Vascular Hospital personnel or others, or to reduce a substantial and imminent likelihood of significant impairment to the life, health, safety of any patient, prospective patient, or other person, or to maintain Heart and Vascular Hospital operations. The AHP and any delegating Medical Staff member(s) shall be notified in writing of such action. The Committee on Allied Health Professionals shall investigate and review such action within 15 days and recommend to the Medical Leadership whether such termination or limitation should be permanently imposed. The Medical Leadership shall evaluate the Committees recommendation and shall within 15 days forward its recommendation to the Board of Managers. The Board of Managers shall make a final decision within 30 days of receipt. The Heart and Vascular Hospital shall notify the AHP and any delegating Medical Staff member(s) in writing within 20 days of the Board of Managers final decision. If the decision is adverse as defined below, the AHP shall be entitled to a review under Section 5.0.

4.4 Corrective Action Against Medical Staff Member

If a Medical Staff member fails to comply with any limitation of privileges imposed on the AHP, or utilizes an AHP in a manner not authorized by the Board of Managers or not consistent with this policy or accepted professional standards, the matter may be referred for corrective action as provided in Article VIII of the Bylaws of the Medical Staff.

4.5 Mental or Physical Impairment

Whenever an AHPs actions, demeanor, conduct, or information provided by any person, indicates that the AHPs current mental or physical status, including suspected impairment from alcohol and drugs, is detrimental to patient safety or to the delivery of quality patient care within the Heart and Vascular Hospital, such AHP may be asked at any time by the Administration, the Committee, Executive Committee of the Medical Leadership, Medical Leadership, Chief of Service, or Board of Managers to provide evidence of current health status through physical or mental examination and shall be referred to the Committee on Professional Health. Such mental or physical examination shall be at the expense of the affected AHP, shall be provided by a practitioner selected by the Committee or Medical Leadership, and may include a blood and/or urine analysis for AHPs suspected impairment from alcohol or drugs.

Despite the status of any physical or mental examination, or if the results of such physical or mental examination indicate impairment, any action may be taken as may be warranted by the circumstances, as outlined in Section 4.

Section 5.0 RIGHTS OF REVIEW

5.1 Scope

The AHP shall not be entitled to any procedural rights, including the right to a hearing, as set forth in the Bylaws of the Medical Staff, or to those rights afforded to employees pursuant to Heart and Vascular Hospital policy. The right of an AHP to a review or appeal of any decision is specifically limited to an adverse action and to the rights set forth in this policy.

5.2 Adverse Action

An Adverse Action is:

- 5.2.1. A decision by the Board of Managers to deny the AHPs application, whether initial or renewal, for authority to practice in the Heart and Vascular Hospital, except as authorized in Section 4.3 of this policy;
- 5.2.2. A decision by the Board of Managers to impose a limitation on the services to be provided by an individual AHP that is not applicable to all AHPs in that category. A limit on scope of authority at the time of initial application is not to be construed and is not an adverse action as defined herein; or
- 5.2.3. A decision by the Board of Managers to limit or terminate an AHPs authority to practice pursuant to the summary action process defined in Section 4.3.

5.3 Review

An AHP notified of an adverse action must deliver a request, in writing, to the Heart and Vascular Hospital within ten (10) days of receipt of the notice, that the Committee on Allied Health Professionals afford the AHP an opportunity to address the Committee and request reconsideration. The AHP shall not be entitled to be accompanied by an attorney or other representative at the appearance. The Committee shall submit to the Medical Leadership and the Board of Managers at their next regular meeting a report of the review to include a confirmation of the decision or any recommended change as a result of the review. The Board of Managers may affirm, reverse, or modify the previous decision. The Board of Trustee's reconsideration of the adverse action subsequent to the review by the Committee on Allied Health Professionals shall constitute an appellate review. The Heart and Vascular Hospital shall notify the AHP and any delegating Medical Staff member(s) in writing within 20 days of the Board of Managers final decision.

5.4 Time Guidelines

The times provided for in this policy for the Committee on Allied Health Professionals, Department Chair, Heart and Vascular Hospital service director, Medical Executive Committee, Board of Managers, and Heart and Vascular Hospital are guidelines only and may be extended if necessary by the respective committee or individual. Compliance with the times may not be compelled by the AHP.

5.5 Reapplication After Adverse Action

An AHP who has received a final adverse decision based on professional competence or conduct is not eligible to reapply for services for a period of 1 year from the effective date of the final adverse decision or the AHPs resignation or application withdrawal in lieu of an adverse action.

Section 6.0 AMENDMENT

6.1 Annual Review and Amendment

This manual shall be reviewed at least annually to determine if it reflects current practices and functioning of AHPs and may be amended in the following manner:

(a) Amendments shall first be presented to the Committee on Allied Health Professionals for review and approval by a two-thirds vote of all members of that committee eligible to vote.

(b) If approved by the Committee on Allied Health Professionals, amendments shall be presented to the Medical Leadership at its next meeting for review and adoption by a two-thirds vote of all members of the Medical Leadership eligible to vote.

(c) The action of the Medical Leadership is subject to the review and approval of the Board of Managers, which approval shall not be unreasonably withheld.

6.2 Mandated Changes to Manual

Notwithstanding the above requirements for amendments to this manual, this manual may be amended as required to comply with any state or federal law, rules and/or regulations of applicable state or federal agencies, or the requirements of the Joint Commission of the Accreditation of Healthcare Organizations as follows:

(a) Proposed amendments, along with documentation evidencing required compliance, shall be presented to the Medical Leadership and must be adopted by at least a two-thirds vote of all members of the Medical Leadership eligible to vote.

(c) The action of the Medical Leadership is subject to the review and approval of the Board of Managers, which approval shall not be unreasonably withheld.

6.3 Notification of Changes

Members of the medical staff and AHP shall be notified that amendments have been made to the manual and such amended manual shall be made available to AHPs and members of the Medical Staff or members of a specific department involved, and shall be compiled and maintained in a convenient form in the Heart and Vascular Hospital, readily available for reference.

History of Review and Revision:

04/02

05/04

10/07